



NASA Electronic Parts and Packaging (NEPP) Program

Anomalous Transients in Chip Polymer Tantalum Capacitors

Alexander Teverovsky

AS&D, Inc.

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Technologies Office,
NASA GSFC, Code 562

Alexander.A.Teverovsky@nasa.gov

Outline

- ❑ Issues with chip polymer tantalum capacitors (CPTC)
- ❑ Experiment
- ❑ Factors affecting transient currents
 - Preconditioning
 - Temperature
 - Voltage
 - Vacuum
 - Operation at high temperature under bias
 - Part type
- ❑ Anomalies in AC characteristics
- ❑ Mechanisms of anomalous transients
- ❑ Summary

Issues with CPTCs

- ❑ Major benefits compared to MnO₂ capacitors:
 - Better volumetric efficiency (smaller case sizes for the same CV);
 - Higher operating voltages (up to 125V);
 - Lower ESR (milliohm range);
 - A relatively safe failure mode (no ignition).
- ❑ Major drawbacks:
 - Insufficient or excessive amount of moisture might be detrimental;
 - Vacuum can be a benefit or a hazard;
 - Weibull grading test is not applicable;
 - ESR might degrade with time at high temperatures;
 - A new phenomena: **anomalous transients**.



Anomalous transients include a group of phenomena:

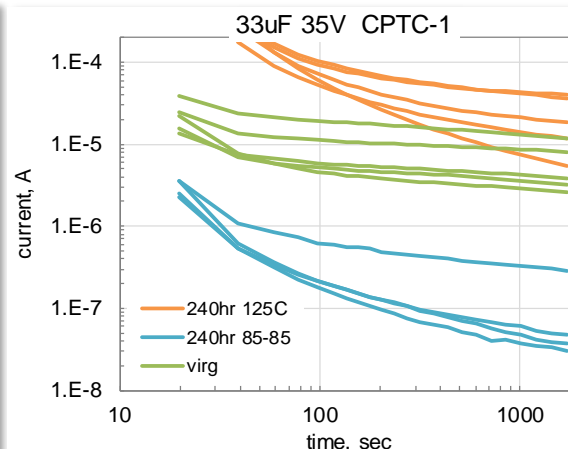
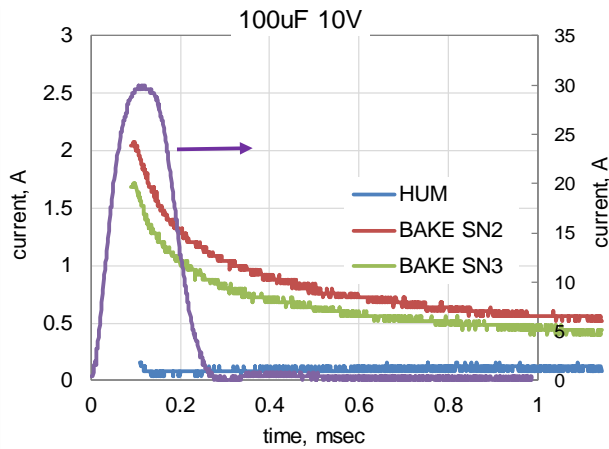
- ✓ A temporary short circuit without damaging the part;
- ✓ Increasing of C and DF with voltage;
- ✓ Substantial increasing of DCL at low temperatures.

Experiment

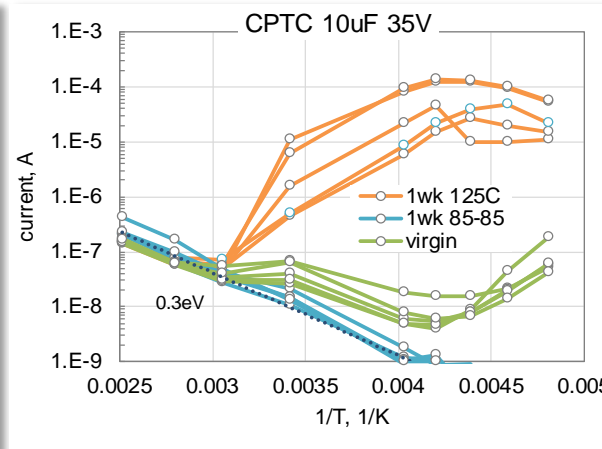
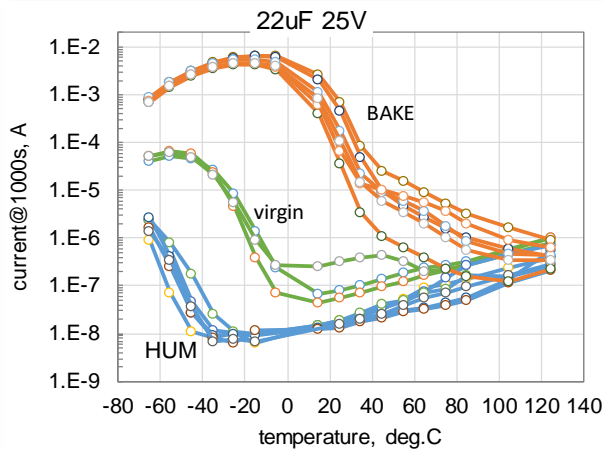
- ❑ Transient currents were monitored using three techniques:
 - From 1 μ sec to 1 msec using a current probe and an oscilloscope using a set-up for surge current testing.
 - From 1 msec to 10 sec using a power SMU in Agilent Analyzer 4156A. The currents were clamped at 1 A at ≤ 20 V and 0.5 A at ≤ 40 V.
 - From 10 sec to hours using a PC-based system by scanning voltages across 1k to 10k limiting resistors.
- ❑ More than 20 types of CPTCs from three manufacturers rated from 6.3V to 35V were used. Most capacitors had case size D.
- ❑ The parts were used in “as is” (virgin) condition within a few days of removal from dry bags, and after soaking in humidity chamber at 85 °C, 85% RH (hum), or after storing at 125 °C (bake). Duration of soaking or storing was typically 10 days.
- ❑ Thirteen part types were tested after 2000 hr storage in vacuum at 3×10^{-6} torr.

Effect of Preconditioning

Preconditioning: 7-10 days at 85C/85%RH or at 125C



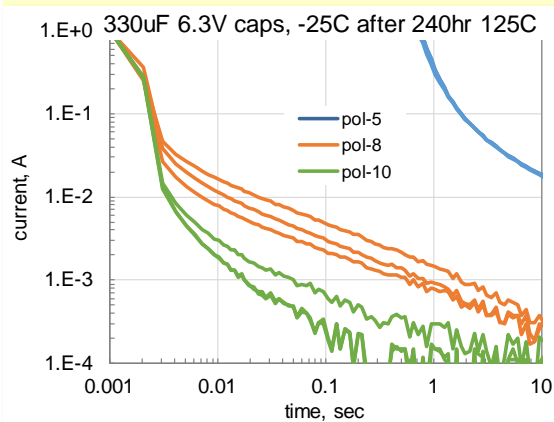
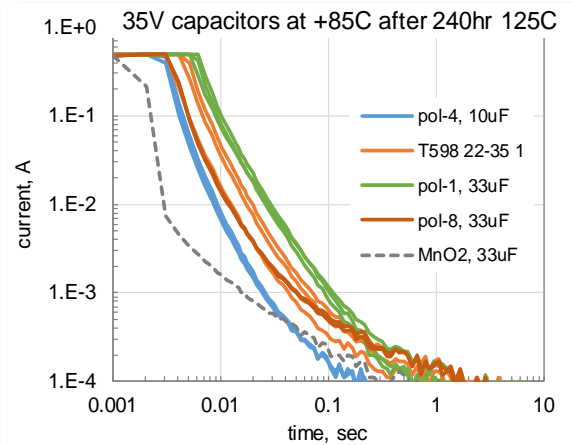
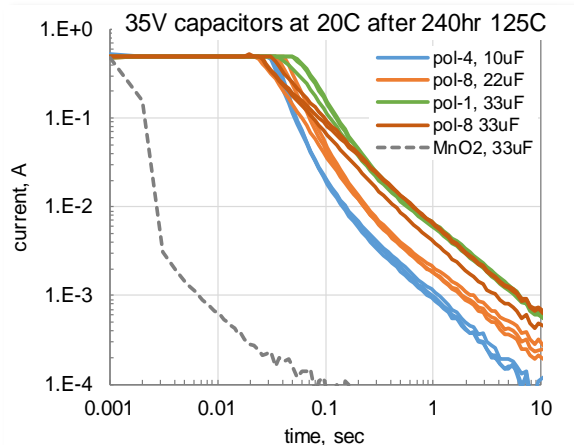
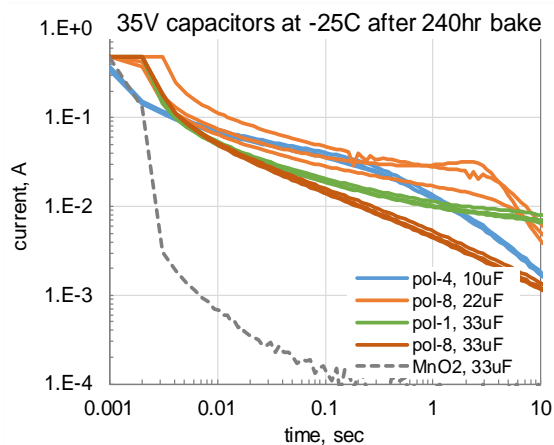
- ✓ Preconditioning affects transient currents from 0.1 millisecond to hours.
- ✓ Behavior of CPTCs with moisture is similar to MnO₂ caps.
- ✓ At RT dry CPTCs might have currents $>10^3$ times greater than humidified caps.
- ✓ Contrary to MnO₂ capacitors, leakage currents at low



temperatures in dry CPTCs might increase up to 10^6 times.

Effect of Temperature

Medium-term transients at -25, +20, and +85 °C for different types of dry 35V and 6.3V capacitors

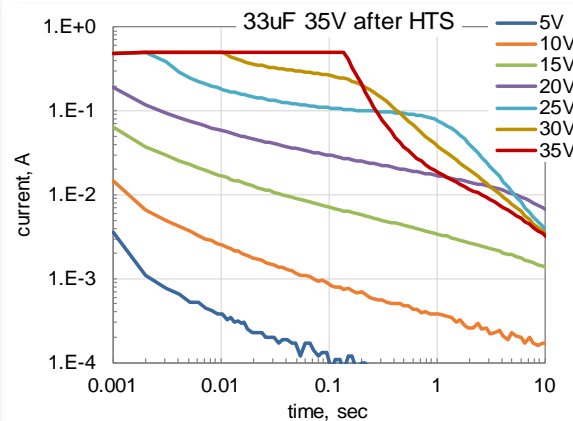
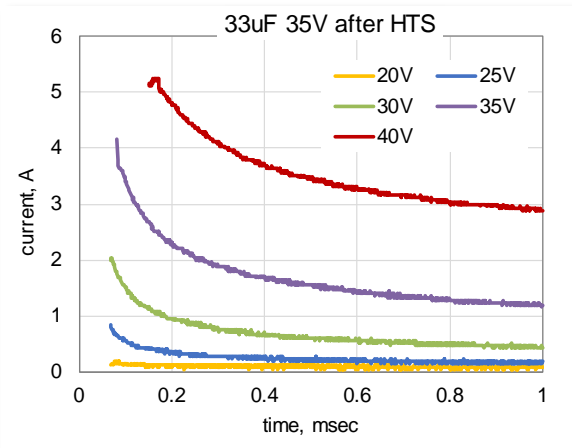


- ✓ Transient currents are increasing at low temperatures and might exceed 1A for up to 1 sec that might be interpreted as a short circuit failure during cold start-up of the system.
- ✓ Currents exceeding 1 A after 1 msec are currently considered a failure during surge current testing per MIL-PRF-55365.

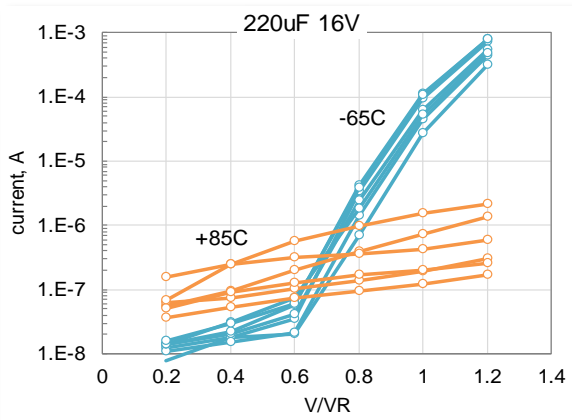
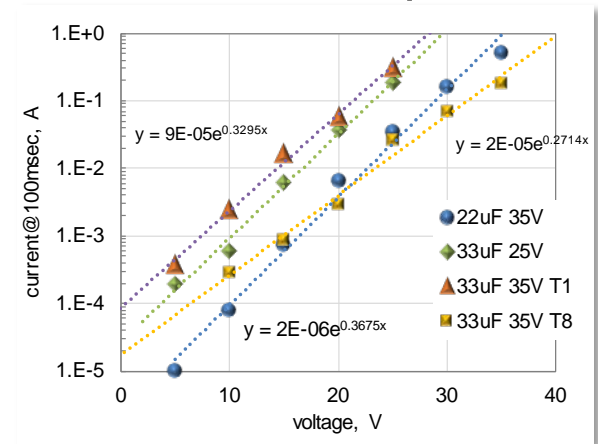
✓ CPTCs with high-current transients can endure multiple surge cycling.

Effect of Voltage

Short- and medium-term transients in 33 μF 35 V CPTCs after storage at 125 $^{\circ}\text{C}$



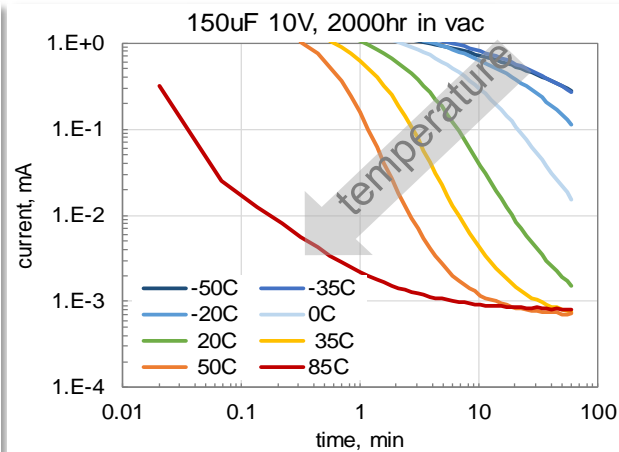
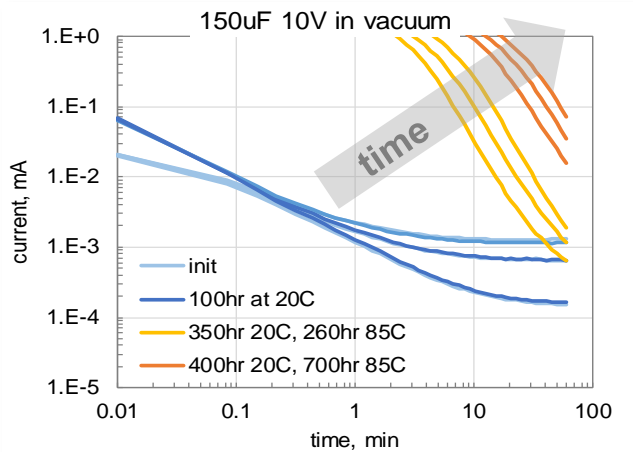
100 msec currents in different 35 V capacitors



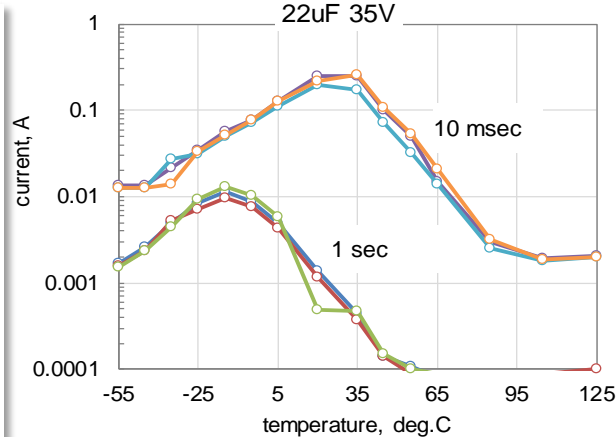
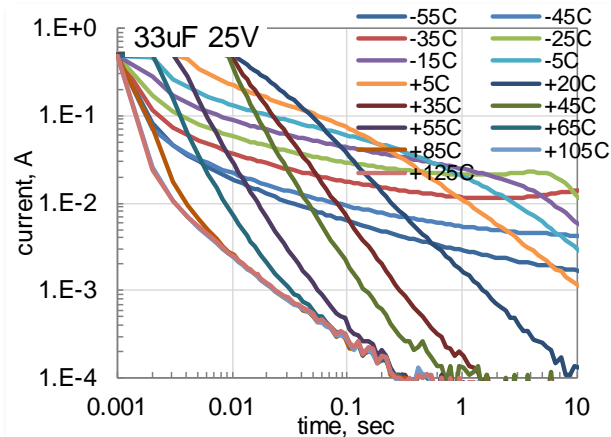
- ✓ Near exponential $I(V)$ dependence.
- ✓ The rate of voltage variations at low temperatures is changing at $\sim 0.5\text{VR}$
- ✓ At RT $I(0.5\text{VR}) \approx 0.01 \times I(\text{VR})$
- ✓ Voltage derating is an effective means to constrain anomalous transients.

Effect of Vacuum

Long-term transients were measured with time of storage in vacuum



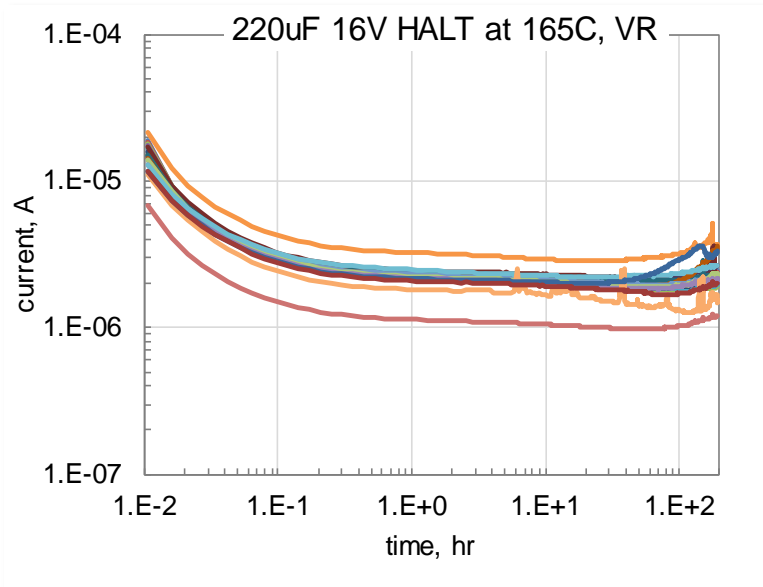
Mid-term transients after storage in vacuum



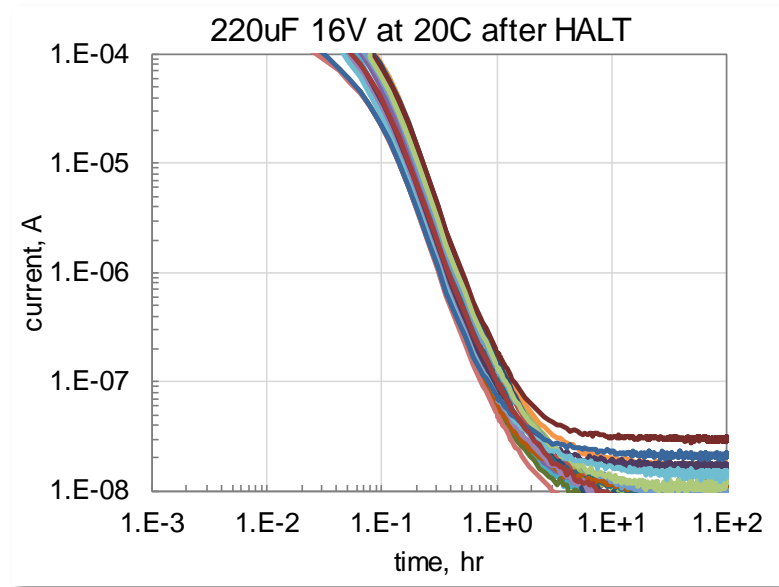
- ✓ Anomalous transients appear in vacuum after ~100hr.
- ✓ Currents might exceed 1 mA for more than 5 min.
- ✓ Inverse temp. dependence of leakage currents.
- ✓ Short- and long-term transients have different extremal dependencies on temperature and might be due to different mechanisms.

Operation at HT under Bias

Currents in 220 μ F 16 V CPTCs during HALT at 165 °C, 16 V for 200 hr



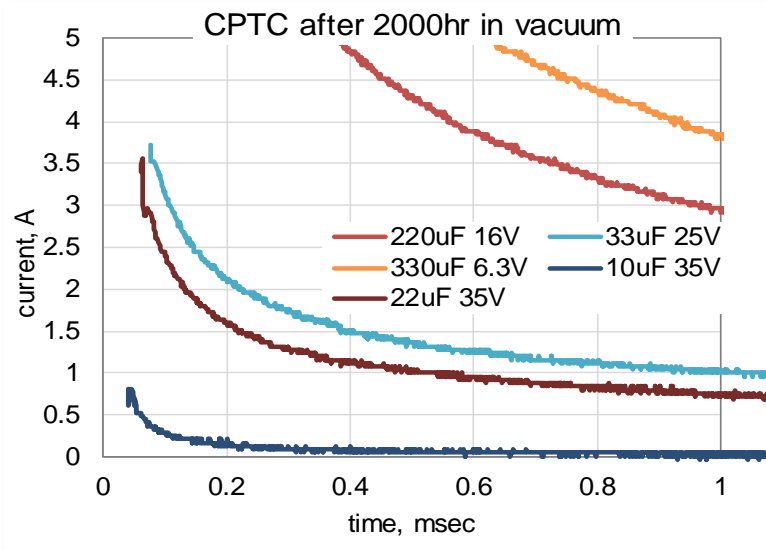
Currents at 22 °C, 16 V after HALT and 1 hour depolarization



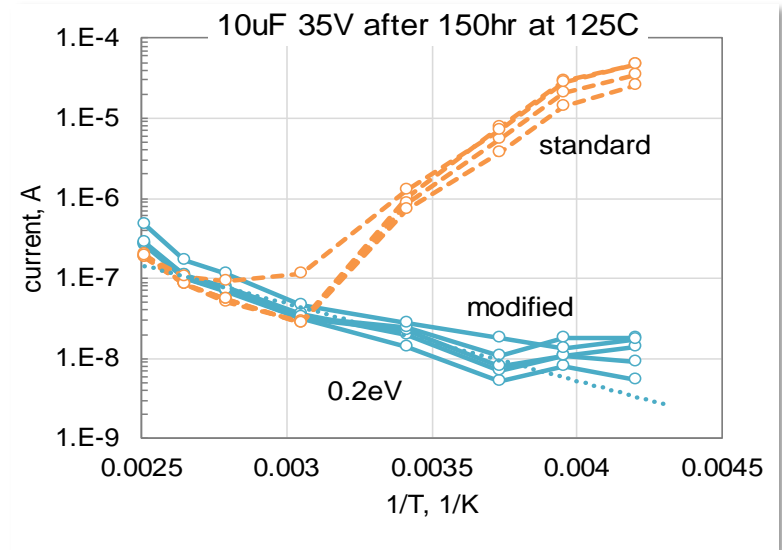
- ✓ Currents at RT after HALT during first few minutes exceeded currents at 165 °C by orders of magnitude and then decreased more than 10^4 times after 10 hours.
- ✓ HT biased operation results in anomalies similar to HT storage.

Effect of Part Type

Transient currents in different types of capacitors after 2000 hr in vacuum

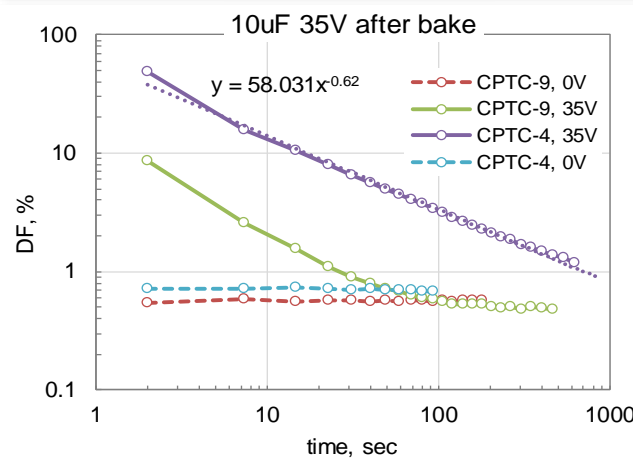
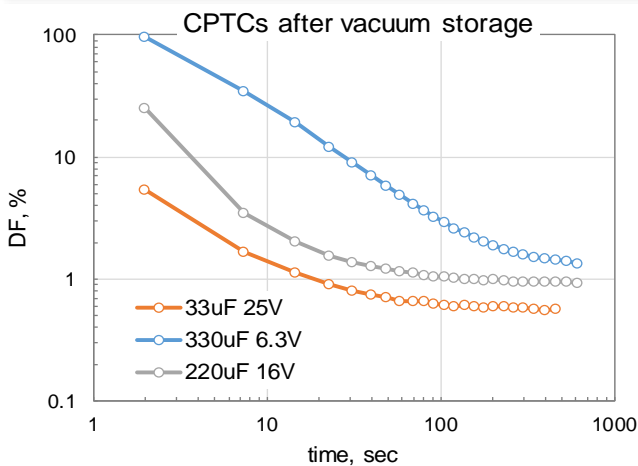
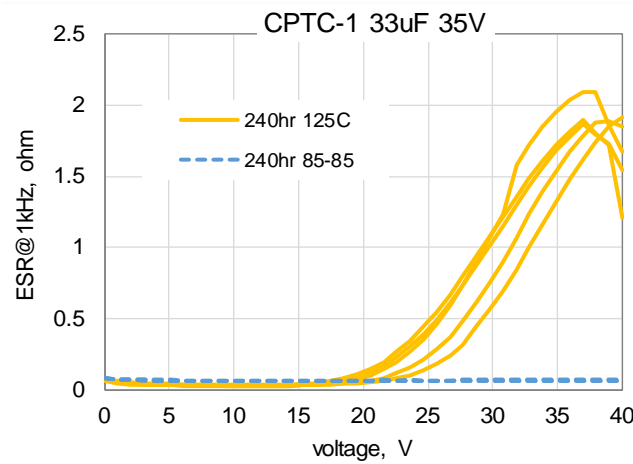
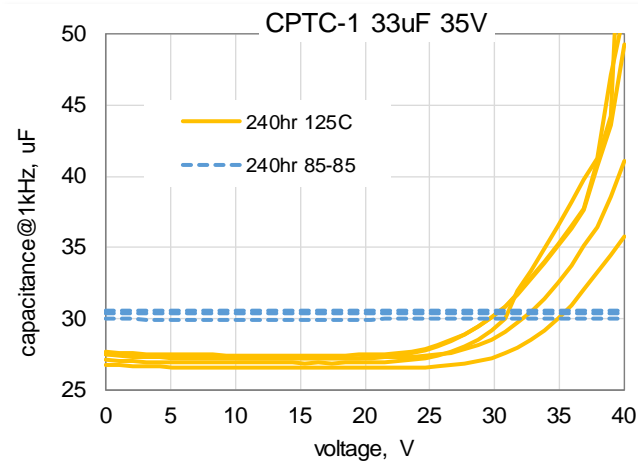


$I(T)$ measured at 1000 sec for CPTCs having different conductive polymers



- ✓ After vacuum storage currents might exceed 3 A after 1 msec.
- ✓ Different part types have substantially different levels of transient currents.
- ✓ Modification of polymers can practically eliminate anomalies in behavior of CPTCs.

Anomalies in AC Characteristics



✓ In the presence of moisture AC characteristics are stable.

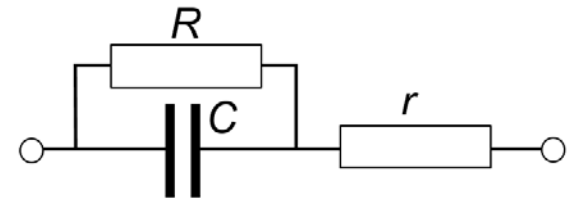
✓ C, ESR, and DF are increasing substantially with voltage.

✓ DF in dry CPTCs can increase well above 10% and then decrease gradually with time.

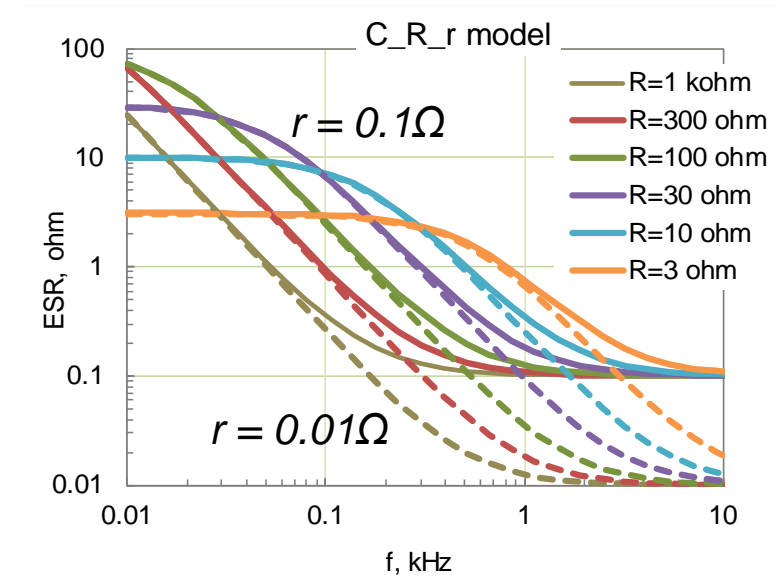
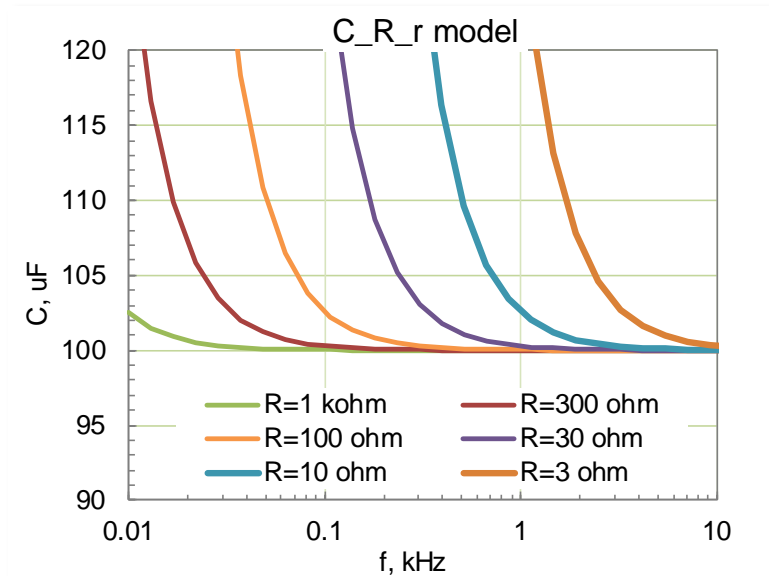
✓ Anomalous behavior is most evident at low frequencies and become negligible at $f > 10$ kHz.

Mechanism of Anomalies in C and DF

A simple C-R-r model of a capacitor with leakage can be used to explain the effect of voltage on C , ESR , and $DF = \omega \times C \times ESR$



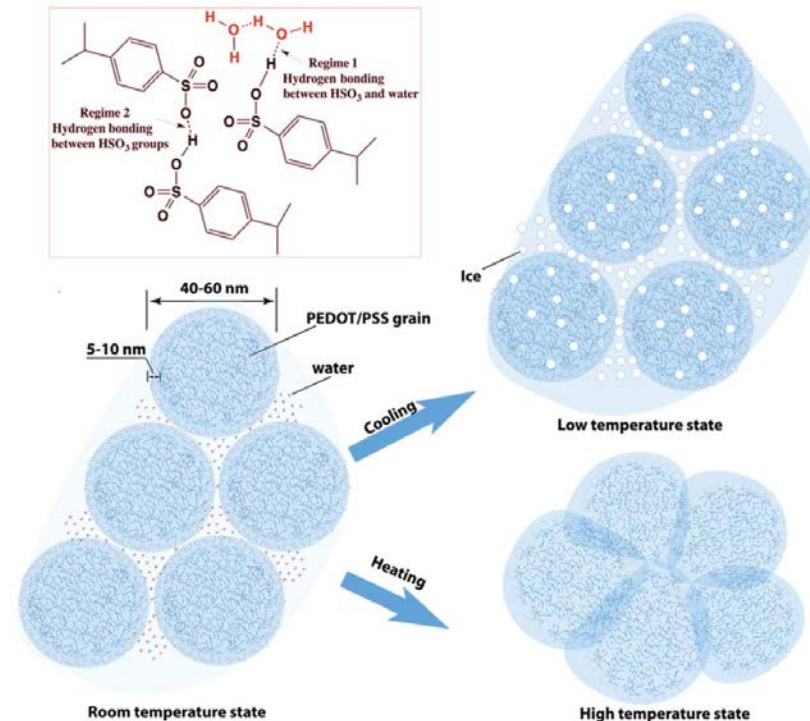
$$C = C_0 \times \left[1 + \frac{1}{(R\omega C_0)^2} \right] \quad ESR = r + \frac{R}{1 + (\omega CR)^2}$$



- ✓ The effect is due to increased conductivity of Ta₂O₅ that increases sharply with voltage.

Mechanism of Anomalous Transients

- ❑ The existing model for anomalous charging currents does not explain long-term transient effects.
- ❑ Variations in the moisture content and temperature affect the core-shell morphology of the polymer and might change its electronic band structure.
- ❑ Shifting positions of the molecular orbitals might change currents in CPTCs by changing the barrier height at the interface polymer/Ta₂O₅.



Changes in morphology of PEDOT/PSS with moisture content and temperature, Zhou et al. 2014

Summary

- ❑ Anomalous transients include a group of phenomena:
 - temporary shorting of the part;
 - increasing currents at low temperatures;
 - increasing C and DF with voltage at low frequencies.
- ❑ Anomalous transients can be observed in all types of CPTCs in dry conditions. In the presence of moisture the parts behave similar to MnO₂ capacitors.
- ❑ The level of transients depends on the type of capacitors. A proper selection of polymers might reduce anomalies substantially.
- ❑ Mechanism: changes in the core-shell structure of dry PEDOT:PSS that affect the barrier height at the polymer/Ta₂O₅ interface. Oxidation/reduction reactions with time under bias result in decreasing of leakage.

Summary, Cont'd

- ❑ CPTCs can be used in space applications at the following conditions:
 - The sensitivity of the systems and circuits to the effect of possible anomalies is evaluated by circuit designers.
 - A 50% voltage derating is applied.
 - The level of anomalous transients is limited by special screening and qualification procedures.